



8/11/04

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/817,139	04/02/2004	Lawrence M. Sherman	07473-038

CONFIRMATION NO. 1499

**FORMALITIES LETTER**



\*OC000000013041804\*

MINTZ, LEVIN, COHN, FERRIS  
GLOVSKY and POPEO, P.C.  
One Financial Center  
Boston, MA 02111

Date Mailed: 06/23/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
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*A copy of this notice **MUST** be returned with the reply.*

M-WAIVE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Express Mail Label No. EV 392 157 040 US

Date of Deposit: August 9, 2004

Attorney Docket No. 07473-038

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lawrence M. Sherman  
Serial No: 10/817,139  
Filed: April 2, 2004  
For: SYSTEMS AND METHODS OF TARGETING SAVINGS

Examiner: Not assigned  
Art Unit: 3624

**MAIL STOP MISSING PARTS**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Applicants respond herein to the Notice To File Missing Parts mailed June 23, 2004. The two-month response period from the mailing date of the Notice is August 23, 2004. The Applicants, therefore, file this response to the Notice on this date in compliance with the two-month period given to the Applicants.

A copy of the Notice To File Missing Parts is enclosed herewith. In addition, a fully-executed inventor declaration is enclosed to complete the filing requirements. A check in the amount of \$130 is enclosed to cover the surcharge as set forth in 37 C.F.R. § 1.16(l) for late filing of a fully-executed inventor declaration (\$130 large entity).

Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311 (Reference No. 07473-038).

Respectfully submitted,

Carol H. Peters  
Registration No. 45,010  
Mintz, Levin, Cohn, Ferris,  
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Boston, MA 02111  
Telephone 617/348-4914  
Attorneys for Applicant

Date: August 9, 2004



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Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified nonprovisional patent application please find the following listed items.

1. Response to Notice to File Missing Parts;
2. Copy of Notice to File Missing Parts of Nonprovisional Application;
3. Combined Declaration and Power of Attorney for Patent Application; and
4. Return postcard.

A check in the amount of \$65.00 enclosed to cover the fee for filing the Declaration. If the total amount of the checks is insufficient, the Commissioner is hereby authorized to charge the balance due to the account of the undersigned, Deposit Account No. 50-0311 and reference Docket No. 07473-038. A duplicate copy of this transmittal letter is enclosed.

Should any of the above-referenced items not be included, the Applications Branch of the U.S. Patent and Trademark Office is respectfully requested to contact the undersigned at the number provided.

Respectfully submitted,

Carol H. Peters

Registration No. 45,010

Attorneys for Applicant

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Date: 8/9/04